



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Named  
Inventor : Kevin I. Bertness

Appln. No. : 10/656,538

Filed : September 5, 2003

For : ALTERNATOR TESTER WITH  
ENCODED OUTPUT

Docket No.: C382.12-0160

Group Art Unit: 2829

Examiner:

**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

I HEREBY CERTIFY THAT THIS PAPER IS BEING  
SENT BY U.S. MAIL, FIRST CLASS, TO THE  
COMMISSIONER FOR PATENTS, P.O. BOX 1450,  
ALEXANDRIA, VA 22313-2450, THIS

12 DAY OF Aug, 2004

  
PATENT ATTORNEY

Sir:

The patents or publications listed on the enclosed PTO Form-1449 are submitted pursuant to 37 C.F.R. § 1.97. Copies of the patents or publications cited are enclosed.

**TIME OF FILING**

The information disclosure statement is being filed:

1. X with the application or within three months of the filing date of the application or date of entry into the national stage of an international application or before the mailing date of a first Office action on the merits, whichever event occurs last. In accordance with 37 C.F.R. § 1.97(b), no statement or fee is required.
2. \_\_\_\_\_ after the time period specified in paragraph 1 above, but before the mailing date of a final action under 37 C.F.R. § 1.113 or notice of allowance under 37 C.F.R. § 1.311. Therefore, in accordance with 37 C.F.R. § 1.97(c), submitted herewith is:

(check either A or B below)

- A. \_\_\_\_\_ a statement as specified in 37 C.F.R. § 1.97(e).
- B. \_\_\_\_\_ the fee set forth in 37 C.F.R. § 1.17(p) for submission of an information disclosure statement

under 37 C.F.R. § 1.97(c).

3. \_\_\_\_\_ after the mailing date of either a final action under 37 C.F.R. § 1.113 or a notice of allowance under 37 C.F.R. § 1.311, whichever occurs first, but before payment of the issue fee. Therefore, Applicant petitions for consideration and submits herewith:
- A. a statement as specified in 37 C.F.R. § 1.97(e);
  - B. the petition fee set forth in 37 C.F.R. § 1.17(p).

**STATEMENT**

(only used if No. 2(A) or No. 3 above is checked)

The person(s) signing below certify

(check appropriate paragraph)

\_\_\_\_\_ that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement. 37 C.F.R. § 1.97(e)(1).

OR

\_\_\_\_\_ that no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this statement. 37 C.F.R. § 1.97(e)(2).

**METHOD OF PAYMENT**

  X   No fee is required.

       Attached is a Charge Authorization Form PTO 2038 in the amount of \$180.00.

The Director is authorized to charge any fee deficiency required by this paper or credit any overpayment to Deposit Account No. 23-1123. A duplicate copy of this communication is enclosed.

Respectfully submitted,

WESTMAN, CHAMPLIN & KELLY, P.A.

By: 

Judson K. Champlin, Reg. No. 34,797  
Suite 1600 - International Centre  
900 Second Avenue South  
Minneapolis, Minnesota 55402-3319  
Phone: (612) 334-3222  
Fax: (612) 334-3312

JKC:lrs

FORM PTO-1449

Atty. Docket No.:  
C382.12-0160Serial No.:  
10/656,538

LIST OF PATENTS AND PUBLICATIONS FOR  
APPLICANT'S INFORMATION  
DISCLOSURE STATEMENT

Applicant:

Kevin I. Bertness

Filing Date

Group Art:

September 5, 2003

2838

## U.S. PATENT DOCUMENTS

Examiner Initial	Document No.	Date	Name	Class	Sub Class	Filing Date If Appropriate
OA	6,531,848	3/11/03	Chitsazan et al.	320	153	
OB	4,023,882	5/17/77	Pettersson	339	96	
OC	2,000,665	5/7/35	L.P. Neal	173	324	
OD	6,158,000	12/5/00	Collins	713	1	
OE	5,745,044	4/28/98	Hyatt, Jr. et al.	340	825.31	
OF	5,578,915	11/26/96	Crouch, Jr. et al.	320	48	
OG	5,592,094	1/7/97	Ichikawa	324	427	
OH	6,275,008	8/14/01	Arai et al.	320	132	
OI	5,872,453	2/15/99	Shimoyama et al.	324	431	
OJ	5,321,627	6/14/94	Reher	364	483	
OK	4,949,046	8/14/90	Seyfang	324	427	
OL	4,926,330	5/1990	Abe et al.	364	424.03	
OM	2002/0050163	5/2002	Makhija et al.	73	116	
ON	2003/0184262	10/2003	Makhija	320	130	
OO	2004/0000891	1/2004	Raichle et al.	320	107	
OP	2004/0000590	1/2004	Raichle et al.	235	462.01	
OQ	2004/0000893	1/2004	Raichle et al.	320	135	
OR	2004/0000913	1/2004	Raichle et al.	324	426	
OS	2004/0000915	1/2004	Raichle et al.	324	522	
OT	2004/0002824	1/2004	Raichle et al.	702	63	
OU	2004/0002825	1/2004	Raichle et al.	702	63	
OV	2004/0002836	1/2004	Raichle et al.	702	188	

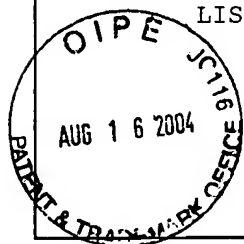
## FOREIGN PATENT DOCUMENTS

	Document No.	Date	Country	Class	Sub Class	Translation Yes No
	OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)					
OW	"Notification of Transmittal of The International Search Report or the Declaration", PCT/US03/27696.					
OX	"Notification of Transmittal of The International Search Report or the Declaration", PCT/US03/41561.					

EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



<b>FORM PTO-1449</b>	Atty. Docket No.: C382.12-0160	Serial No.: 10/656,538
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	Applicant:	
	Kevin I. Bertness	
	Filing Date	Group Art:
	September 5, 2003	2838

## U.S. PATENT DOCUMENTS

Examiner Initial	Document No.	Date	Name	Class	Sub Class	Filing Date If Appropriate
PA	2004/0049361	3/2004	Hamdan et al.	702	115	
PB	2004/0051533	3/2004	Namaky	324	426	
PC	2004/0054503	3/2004	Namaky	702	183	
PD	D442,503	5/20001	Lundbeck et al.	D10	77	
PE	6,037,778	3/2000	Makhija	324	433	
PF	6,061,638	5/2000	Joyce	702	63	
PG	6,359,442	3/2002	Henningson et al.	324	426	
PH	6,384,608	5/2002	Namaky	324	430	
PI	6,388,448	5/2002	Cervas	324	426	
PJ	6,396,278	5/2002	Makhija	324	402	
PK	6,570,385	5/2003	Roberts et al.	324	378	
PL	6,629,054	9/2003	Makhija et al.	702	113	
PM						
PN						
PO						
PP						
PQ						

## FOREIGN PATENT DOCUMENTS

	Document No.	Date	Country	Class	Sub Class	Translation Yes No
PR						

## OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

PS	"Programming Training Course, 62-000 Series Smart Engine Analyzer", Testproducts Division, Kalamazoo, Michigan, pgs. 1-207, (1984).					
PT	"Operators Manual, Modular Computer Analyzer Model MCA 3000", Sun Electric Corporation, Crystal Lake, Illinois, pgs. 1-1 - 14-13, (1991).					
PU	"Professional BCS System Analyzer Battery-Charging-Starting, pgs. 1-8, (2001).					

EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.